



A34646 - 071308.0240
PATENT

Receipt #0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kiesel et al.
Serial No. : 09/965,169 Examiner: t/b/a
Filed : September 27, 2001 Group Art Unit: t/b/a
For : DEVICE AND PROCESS FOR OPERATION OF
AUTOMATION COMPONENTS

LETTER NOTING ERROR OF SERIAL NUMBER

I hereby certify that this paper is being deposited with the United States
Postal Service as First Class Mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 27, 2002

Date of Deposit

Signature

Bradley B. Geist

Attorney Name

27,551

Registration No.

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants have received the stamped, return postcard which was
originally attached to the above-identified patent application when filed on September 27,
2001. The returned, stamped postcard indicates the Serial Number and Filing Date
assigned to the above-referenced patent application as 09/965,169 (filed September 27,
2001). The next communications received from the Patent Office were the official Filing
Receipt and the Notice to File Missing Parts of Nonprovisional Application. Both
documents correctly indicate the September 27, 2001 filing date; however, the Serial
Number appears on both documents as 09/965,569. Attached are red-marked copies of

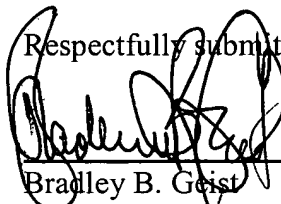
the return postcard, the official Filing Receipt and the Notice to File Missing Parts showing the error.

Applicants have since filed a Submission of Priority Document based upon the 09/965,169 Serial Number. Applicants have also filed a German Declaration, Assignment and Assignment Recordation Cover Sheet in response to the Notice of Missing Parts based upon the 09/965,569 Serial Number.

In order to cease confusion, applicants request that a new, corrected official Filing Receipt be issued. Applicants also request that we receive confirmation from the Patent Office that the Declaration and Assignment documents have been received by the Patent Office and placed in the correct records for the Applicants of record: Martin Kiesel, Andreas Polz and Peter Wagner relating to the above-identified patent application. As noted on the attached, red-marked copy of the Notice of Recordation, the Assignment relating to the above-referenced patent application was issued to Reel/Frame 012462/0385.

Applicants do not believe any fees are required with this communication. However, should any fees be required, the Commissioner is hereby authorized to charge said fees to Deposit Account No. 02-4377. A duplicate copy of this page is provided.

Respectfully submitted,



Bradley B. Geist
Patent Office Reg. No. 27, 551

Attorney for Applicants
(212) 408-2562

Enclosures



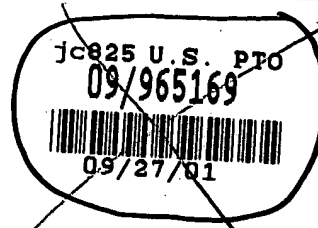
File Number: A34646 (071308.0240)

The stamp of the Patent Office Mail Room hereon acknowledges receipt on the date indicated by such stamp of patent application papers comprising 10 page(s) of specification, 4 page(s) of claims, 1 sheet(s) of drawings, a check in the amount of \$840.00 by applicant(s) Kiesel for an invention entitled DEVICE AND PROCESS FOR OPERATION OF AUTOMATION COMPONENTS.

Express Label No.: EL866934209US

Mailing Date: September 27, 2001

Comments: Box Patent Application





APR 04 2002
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,569	09/27/2001		840	A34646 (071308.0240)	1	1	1

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 3075

FILING RECEIPT



OC000000007030669

Date Mailed: 11/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Martin Kiesel, Residence Not Provided;

BAKER BOTTS L.L.P.

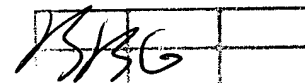
Domestic Priority data as claimed by applicant

01 NOV 12 AM 10:56

Foreign Applications

GERMANY 101 077 48.3 02/16/2001
GERMANY 101 295.67.7 06/20/2001

TO



If Required, Foreign Filing License Granted 11/06/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Device and process for operation of automation components

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



BAKER BOTTS L.L.P.

01 NOV 12 AM 8:46

UNITED STATES PATENT AND TRADEMARK OFFICE

TO

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

1336

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,569	09/27/2001	Martin Kiesel	A34646 (071308.0240)

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 3075

FORMALITIES LETTER



OC000000007030670

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

Docketed

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

For 1 / 16 12001 By [Signature]
5/16/02